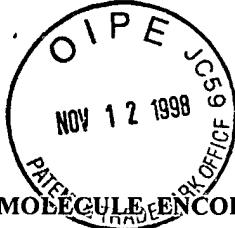


Applicant or Patentee: Communi et al.

Application or Patent No.: 09/077,173

Filed or Issued: May 21, 1998

For: RECEPTOR AND NUCLEIC ACID MOLECULE ENCODING SAID RECEPTOR



Attorney's Docket No.: VANMA83.001APC

Page 1

### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL-ENTITY STATUS

I, the undersigned, do hereby declare that:

I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: EUROSCREEN S.A.

ADDRESS OF CONCERN: Avenue des Becassines 7, B-1160 Bruxelles, Belgium

I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above.

The individual, concern or organization identified above has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

**\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).**

FULL NAME:

ADDRESS:

INDIVIDUAL  SMALL BUSINESS CONCERN  NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Pierre Nokin

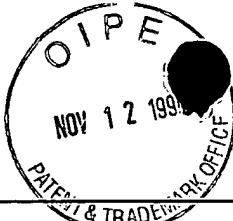
TITLE OF PERSON (if not an owner or individual): Managing Director

ADDRESS OF PERSON SIGNING: EUROSCREEN S.A., Avenue des Becassines 7, B-1160, Bruxelles, Belgium

SIGNATURE:

NSB-5653:cd/060998

DATE: September 2, 1998



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**DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled RECEPTOR AND NUCLEIC ACID MOLECULE ENCODING SAID RECEPTOR; the specification of which was filed on **May 21, 1998** as Application Serial No. **09/077,173**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

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**PRIOR FOREIGN APPLICATION(S)**

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**Priority  
Claimed**

No.: <b>PCT/BE96/00123</b>	Country: <b>PCT</b>	Date Filed: <b>November 21, 1996</b>	<b>Yes</b>
No.: <b>95870124.5</b>	Country: <b>EP</b>	Date Filed: <b>November 21, 1995</b>	<b>Yes</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

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100 Full name of first inventor: Didier Communi

Inventor's signature Communi

Date September 2, 1998

Residence: **Belgium**

Citizenship: **Belgium**

Post Office Address: **Groendallaan 19, B-1800 Vilvoorde, Belgium** BEX

200 Full name of second inventor: Sabine Pirotton

Inventor's signature Sabine

Date September 2, 1998

Residence: **Belgium**

Citizenship: **Belgium**

Post Office Address: **Avenue Marius Renard 27a, B-1070 Bruxelles, Belgium** BEX

300 Full name of third inventor: Marc Parmentier

Inventor's signature P

Date September 2, 1998

Residence: **Belgium**

Citizenship: **Belgium**

Post Office Address: **Chaussee d'Uccle 304, B-1604 Linkebeek, Belgium** BEX

4-00 Full name of fourth inventor: Jean-Marie Boeynaems

Inventor's signature JM Boey

Date September 2, 1998

Residence: **Belgium**

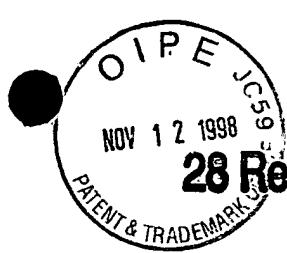
Citizenship: **Belgium**

Post Office Address: **Avenue Peter Benoit 5, B-1780, Wemmel, Belgium BEX**

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Send Correspondence To:  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995

NSB-5654:cd  
060998



28 Rec'd PCT/PTO 12 NOV1998

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Communi et al. )  
App. No. : 09/077,173 )  
Filed : May 21, 1998 )  
For : RECEPTOR AND NUCLEIC ACID )  
MOLECULE ENCODING SAID )  
RECEPTOR )  
Examiner : Unknown )

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ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION  
AND  
REVOCATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the inventor(s) to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (949)

App. No. : 09/077,173  
Filed : May 21, 1998

760-0404, Customer No. 20,995, as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use Customer No. 20,995 for all communications.

EUROSCREEN S.A.

Dated: September 2, 1998

By:  Pierre Nokin

Title: Managing Director

Address: Avenue des Becassines 7  
B-1160 Bruxelles, Belgium

NSB-5656:cd  
060998

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Application No.: 09/077,173  
Filing Date: May 21, 1998

PATENT  
Client Code: VANMA83.001APC  
Page 1

## ASSIGNMENT

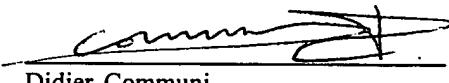
WHEREAS, We, Didier Communi, a Belgium citizen, residing at Groendallaan 19, B-1800, Vilvoorde, Belgium; Sabine Pirotton, a Belgium citizen, residing at Avenue Marius Renard 27a, B-1070, Bruxelles, Belgium; Marc Parmentier, a Belgium citizen, residing at Chaussee d'Uccle 304, B-1604, Linkebeek, Belgium and Jean-Marie Boeynaems, a Belgium citizen, residing at Avenue Peter Benoit 5, B-1780, Wemmel, Belgium have invented certain new and useful improvements in a RECEPTOR AND NUCLEIC ACID MOLECULE ENCODING SAID RECEPTOR for which we have filed an application for Letters Patent in the United States, Application No. 09/077,173, filed May 21, 1998;

AND WHEREAS, EUROSCREEN S.A. (hereinafter "ASSIGNEE"), a Belgium Corporation, with its principal place of business at Avenue des Becassines 7, B-1160, Bruxelles, Belgium, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) each to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 2 day of September 98



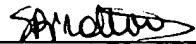
Didier Communi

Attested by:

Application No.: 09/077,173  
Filing Date: May 21, 1998

PATENT  
Client Code: VANMA83.001APC  
Page 2

SIGNED this 2 day of September 19 98

  
\_\_\_\_\_  
Sabine Pirotton

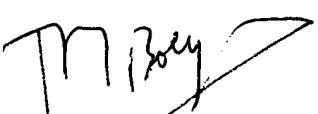
Attested by:

SIGNED this 2 day of September 19 98

  
\_\_\_\_\_  
Marc Parmentier

Attested by:

SIGNED this 2 day of September 19 98

  
\_\_\_\_\_  
Jean-Marie Boeynaems

Attested by: